## DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION □ Substitute ○ Original Supplemental As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a United States patent is sought on the invention entitled GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423) the specification of which: is attached hereto. was filed on as Application No. (day/month/year) and, if this box (□) contains an × was amended on (day/month/year) $\boxtimes$ was filed as Patent Cooperation Treaty international Application No. PCT/EP2005/003175 24/03/2005 on (day/month/year) and, if this box (□) contains an × entered the national stage in the United States and was accorded Application No. and, if this box ( ) contains an x was amended, subsequent to entry into the national stage, on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) specifically referred to above and, if this application was filed as a Patent Cooperation Treaty international application, by any amendments made during the international stage (including any made under Patent Cooperation Treaty Rule 91, Article 19 and Article 34).

I acknowledge my duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or Patent Cooperation Treaty international filing date of the continuation-in-part application.

(day/month/year)

I hereby claim the benefit under 35 U.S.C. 119(a)-(d) or (f) or 365(b) of any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate listed below and under 35 U.S.C. 365(a) of any Patent Cooperation Treaty international application(s) designating at least one country other than the United States listed below and have also listed below any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate and Patent Cooperation Treaty international application(s) designating at least one country other than the United States for the same subject matter and having a filing date before that of the application the priority of which is claimed for that subject matter:

COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	P	RIORITY	CLA	IMED
SI	P200400094	26/03/2004	X	Yes		No
				Yes		No
				Yes		No
				Yes		No
				Yes		No
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:						s) listed
APPLICATION NO.		FILING DATE				

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s) listed below and under 35 U.S.C. 365(c) of any Patent Cooperation Treaty international application(s) designating the United States listed below:

United States	United States	Status (Pending,	Interna	ational
Application No.	Filing Date	Abandoned or U.S.	Application No.	and Filing Date
	(day/month/year)	Patent No.)		(day/month/year)

I hereby appoint all of the registered practitioners associated with Customer No. 001095, respectively and individually, as my attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

If this box ( $\square$ ) contains an x  $\boxtimes$ , I hereby authorize the registered practitioners associated with Customer No. 001095 and any others acting on my behalf to take any action relating to this application based on communications from Corporate Intellectual Property of Novartis International AG, Basle, Switzerland, or an affiliate thereof or a successor thereto, without direct communication from me.

Please send all correspondence relating to this application to the address associated with Customer No. 001095.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole or first joint inventor	Marija BOGATAJ		_
Inventor's signature	Many Byty	Date .	<u> </u>
Residence	1370 Logatec, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Stranska pot 8 1370 Logatec Slovenia		
Full name of second joint inventor, if any	Ales MRHAR		
Inventor's signature	Jums	Date .	M-Ao , Loo6 (day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Milana Majcna 35 1000 Ljubljana Slovenia		

IMPORTANT: Before this declaration is signed, the patent application (the specification, the claims and this declaration) must be read and understood by each person signing it, and no changes may be made in the application after this declaration has been signed.

Full name of third joint inventor, if any	Anton LAVRIC		
Inventor's signature		Date	
			(day/month/year)
Residence	1291 Skofljica, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Gasilska 24 1291 Skofljica Slovenia		
Full name of fourth joint inventor, if any	Manica CERNE		
Inventor's signature	Céme diani co	Date .	20, 40, 2006 (day/month/year)
Residence	1292 lg, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Matena 1 1292 lg Slovenia		
Full name of fifth joint inventor, if any	Doris TIBAUT		
Inventor's signature	Jonis Mac	Date	20, 10. 7006 (day/month/year)
Decidence			(,,,,,,,,,,,,,,,,,,
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Povsetova 104 1000 Ljubljana Slovenia		

Full name of sixth joint inventor, if any	Anton STALC		
Inventor's signature	- Buboli	Date -	3. 1 <sub>1</sub> . 2σσ 6 (day/month/year)
Residence	4275 Begunje na Gorenjskem, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Zapuže 1 4275 Begunje na Gorenjskem Slovenia		
Full name of seventh joint inventor, if any	Uros URLEB		
Inventor's signature	lust lumb	Date -	7 4/10/2000 (day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Periceva 29 1000 Ljubljana Slovenia		
Full name of eighth joint inventor, if any	Tatjana MATEOVIC		
Inventor's signature	Refort	Date -	11. 10. 2006 (day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Malnarjeva 43 1000 Ljubljana Slovenia		

Full name of ninth joint inventor, if any	Greta COF		
Inventor's signature	6.60 J	Date -	1. 10- 200 (day/month/year)
Residence	1215 Medvode, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Zbiljska cesta 103 1215 Medvode Slovenia		
Full name of tenth joint inventor, if any	Janez KERC		
Inventor's signature	Janys Con	Date -	73. 10. 2006 (day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Trebinjska 7 1000 Ljubljana Slovenia		
Full name of eleventh joint inventor, if any	Rok DREU		
Inventor's signature	Re Pum	Date — -	11. 10. <u>2 ე</u> ენ (day/month/year)
Residence	2380 Slovenj Gradec, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Pot ob Homsnici 23 2380 Slovenj Gradec Slovenia		

Full name of twelfth joint inventor, if any	Fumio YONEDA		
Inventor's signature		Date	(day/month/year)
Residence	Osaka 569-1020, Japan		
Citizenship	citizen of Japan		
Post Office Address	Takamidai 16-15 Takatsuki City Osaka 569-1020 Japan		
Full name of thirteenth joint inventor, if any	Shizuko MURAOKA		
Inventor's signature		Date -	(day/month/year)
Residence	Osaka 580-0016, Japan		
Citizenship	citizen of Japan		
Post Office Address	Ueda 3-6-20-902 Matsubara City Osaka 580-0016 Japan		

US

## DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION ☐ Substitute ☐ Supplemental ✓ Original As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a United States patent is sought on the invention entitled GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423) the specification of which: is attached hereto. was filed on as Application No. (day/month/year) and, if this box ( ) contains an \* was amended on (day/month/year) X was filed as Patent Cooperation Treaty international Application No. PCT/EP2005/003175 24/03/2005 on (day/month/year) and, if this box (□) contains an × entered the national stage in the United States and was accorded Application No. and, if this box ( ) contains an ×

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) specifically referred to above and, if this application was filed as a Patent Cooperation Treaty international application, by any amendments made during the international stage (including any made under Patent Cooperation Treaty Rule 91, Article 19 and Article 34).

was amended, subsequent to entry into the national stage, on

I acknowledge my duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or Patent Cooperation Treaty international filing date of the continuation-in-part application.

(day/month/year)

US

I hereby claim the benefit under 35 U.S.C. 119(a)-(d) or (f) or 365(b) of any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate listed below and under 35 U.S.C. 365(a) of any Patent Cooperation Treaty international application(s) designating at least one country other than the United States listed below and have also listed below any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate and Patent Cooperation Treaty international application(s) designating at least one country other than the United States for the same subject matter and having a filing date before that of the application the priority of which is claimed for that subject matter:

COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY	CLAIMED
SI	P200400094	26/03/2004	⊠ Yes	□ No
			☐ Yes	□ No
			☐ Yes	□ No
			☐ Yes	□ No
			☐ Yes	□ No
I hereby claim the benefi listed below:	t under 35 U.S.C. 119(e) (	of any United States prov	visional applica	ation(s)
APPLICATION NO.		FILING DATE (day/month/year)		

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s) listed below and under 35 U.S.C. 365(c) of any Patent Cooperation Treaty international application(s) designating the United States listed below:

United States	United States	Status (Pending,	International	
Application No.	Filing Date	Abandoned or U.S.	Application No.	and Filing Date
	(day/month/year)	Patent No.)		(day/month/year)

I hereby appoint all of the registered practitioners associated with Customer No. 001095, respectively and individually, as my attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

If this box ( $\square$ ) contains an x  $\boxtimes$ , I hereby authorize the registered practitioners associated with Customer No. 001095 and any others acting on my behalf to take any action relating to this application based on communications from Corporate Intellectual Property of Novartis International AG, Basle, Switzerland, or an affiliate thereof or a successor thereto, without direct communication from me.

Please send all correspondence relating to this application to the address associated with Customer No. 001095.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole or first joint inventor	Marija BOGATAJ		
Inventor's signature		Date _	(day/month/year)
Residence	1370 Logatec, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Stranska pot 8 1370 Logatec Slovenia		
Full name of second joint inventor, if any	Ales MRHAR		
Inventor's signature		Date -	(day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Milana Majcna 35 1000 Ljubljana Slovenia		

IMPORTANT: Before this declaration is signed, the patent application (the specification, the claims and this declaration) must be read and understood by each person signing it, and no changes may be made in the application after this declaration has been signed.

Full name of third joint inventor, if any	Anton LAVRIC		
Inventor's signature		Date	(day/month/year)
Residence	1291 Skofljica, Slovenia		, , , ,
Citizenship	citizen of Slovenia		
Post Office Address	Gasilska 24 1291 Skofljica Slovenia		
Full name of fourth joint inventor, if any	Manica CERNE		
Inventor's signature		Date	
			(day/month/year)
Residence	1292 lg, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Matena 1 1292 lg Slovenia		
Full name of fifth joint inventor, if any	Doris TIBAUT		
Inventor's signature		Date	
			(day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Povsetova 104 1000 Ljubljana Slovenia		

Full name of sixth joint inventor, if any	Anton STALC		
Inventor's signature		Date	
			(day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Rasiska 5 1000 Ljubljana Slovenia		
Full name of seventh joint inventor, if any	Uros URLEB		
Inventor's signature		Date	
			(day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Periceva 29 1000 Ljubljana Slovenia		
Full name of eighth joint inventor, if any	Tatjana MATEOVIC		
Inventor's signature		Date	
		-	(day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Malnarjeva 43 1000 Ljubljana Slovenia		

Full name of ninth joint inventor, if any	Greta COF		
Inventor's signature		Date _	(day/month/year)
Residence	1215 Medvode, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Zbiljska cesta 103 1215 Medvode Slovenia		
Full name of tenth joint inventor, if any	Janez KERC		
Inventor's signature		Date _	
			(day/month/year)
Residence	1000 Ljubljana, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Trebinjska 7 1000 Ljubljana Slovenia		
Full name of eleventh joint inventor, if any	Rok DREU		
Inventor's signature		Date _	
			(day/month/year)
Residence	2380 Slovenj Gradec, Slovenia		
Citizenship	citizen of Slovenia		
Post Office Address	Pot ob Homsnici 23 2380 Slovenj Gradec Slovenia		

Full name of twelfth joint inventor, if any **Fumio YONEDA** Date Inventor's signature Residence Osaka 569-1020, Japan Citizenship citizen of Japan Post Office Address Takamidai 16-15 Takatsuki City Osaka 569-1020 Japan Full name of thirteenth joint inventor, if any Shizuko MURAOKA Inventor's signature Residence Osaka 580-0016, Japan Citizenship citizen of Japan Post Office Address Ueda 3-6-20-902 **Matsubara City** 

Osaka 580-0016

Japan

## **DECLARATION FOR PATENT APPLICATION**

The undersigned hereby states and affirms that he/she is the administrator, executor, and/or person representative of Anton Lavric, a below named inventor, who died on  $\frac{26.40.2006}{20.40.2006}$  in  $\frac{17007900}{2000}$ ; and, upon information and belief, I hereby declare as follows:

My residence address, post office address (if applicable) and citizenship (country) are as follows:

I believe Anton Lavric to be an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

Gastroresistant Pharmaceutical Dosage Form Comprising -(2-(2-Phthalimidoethoxy)-Acetyl)-L-Alanyl-D-Glutamic Acid (LK-423)

the specification of which is attached hereto, <u>UNLESS</u> the following boxes are checked:

X	was filed as Patent Cooperation Treaty International Application No. PCT/EP2005/003175 on 24 March 2005	х	entered the national stage in the United States and was accorded U.S. Application No. 10/599.289
---	---	---	--

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability, as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior	Application No.	Country	Day/month/year filed	Priority Claimed:
Foreign Applications	P200400094	Slovenia	26 March 2004	Yes

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application listed below.

	<del>-  </del>
Application No.	Filing Date:

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application No.	Filing Date:	Status: Published	(patented, pending, abandoned)
Application No.	Filing Date:	Status:	(patented, pending, abandoned)

I hereby appoint the attorneys associated with Customer No. 00083721 (Lek(Slovenia)-Luedeka, Neely & Graham, P.C.) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all telephone calls to Mark S. Graham. Esq., at telephone number (865) 546-4305, and all correspondence to the address of

Mark S. Graham, Esq. Reg. No. 32,355 LUEDEKA. NEELY & GRAHAM. P.C. P.O. Box 1871 Knoxville, Tennessee 37901

I hereby authorize the attorney(s) or agent(s) named herein to accept and follow instructions from **Lek Pharmaceuticals d.d.** as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the attorney(s) or agent(s) and myself. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney(s) or agent(s) named herein will be so notified by me.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of administrator/executor of deceased inventor Anton Lavric (given name, family name)		Bogomila LAVRIC		
Administrator's/Executor's Signature	Bogomila Lavic		Date: 6.7ml, 6009	
Citizenship (Country)	SLOVENIA			_
Residence Address	GASILSKA ULICA LA 1291 SKOFLICA SLOVENIA	1		
Post Office Address (if applicable)				

Please note that the other inventor declarations filed with respect to this application date were dated several years ago. Since then, this application has been assigned by the other named inventors to the assignee listed above (i.e. Lek Pharmaceuticals d.d.). Lek Pharmaceuticals d.d. is now using customer number 00083721. Thus, although a different customer number was previously listed with respect to the other declaration(s), the prior customer number has been revoked and replaced with the customer number listed in this declaration (i.e., 00083721), which is now controlling and should be used henceforth with respect to all aspects of this application until the assignee of record or its authorized representative instructs otherwise.